

CAPIS Transitions to Women-owned Broker/Dealer

Dallas, Texas – August 25, 2015 – Capital Institutional Services, Inc. (CAPIS), one of the industry’s leading institutional agency brokerages, today announced that it officially transitioned to 51% women-owned on July 8, 2015.

After 38 successful years and more than a decade of succession planning, the firm has officially become majority women-owned. Kristi Potts Wetherington, president and CEO of CAPIS, along with another female shareholder, maintain majority ownership of the firm. With the transition to a women-owned firm, CAPIS will be able to help institutions satisfy minority or women-owned business enterprise (MWBE) mandates through its 24-hour global trading desk, which offers global equity, fixed income, derivative, and portfolio execution services.

Founded in 1977 by Don Potts, CAPIS provides institutional investors with global execution services, commission management solutions, and transition management events. “Over the years, the board of directors and I have spent a great deal of time planning the future of CAPIS through the firm’s succession plans,” said Potts, chairman of the board. “With the transition to being a women-owned brokerage firm, CAPIS is positioned to continue its growth for many years to come.”

“I am honored to continue building upon the principles on which the firm was founded,” said Wetherington. “CAPIS will continue to be a privately owned, independent agency brokerage firm that's dedicated to providing institutional investors with innovative products and services.”

About Capital Institutional Services, Inc. (CAPIS)

CAPIS is a leading women-owned, independent U.S. institutional broker specializing in global agency trading, independent research and commission management programs for asset managers, plan sponsors, and broker-dealers. Supported by advanced trading technology, CAPIS traders deliver superior execution in domestic and international equities, fixed income, derivatives, and program trading. With more than 38 years of experience in the independent research and commission management business, the firm’s industry-leading CCA and CSA programs provide added tools for reducing transaction costs and improving performance. Founded in 1977, CAPIS is a member of the New York Stock Exchange, the Financial Industry Regulatory Authority, the National Futures Association, and SIPC. For more information, visit www.CAPIS.com.