

CAPIS Certified as a Women-owned Broker

Dallas, Texas – December 2, 2015 – Capital Institutional Services, Inc. (CAPIS), one of the industry’s leading institutional agency brokerages, today announced that it has been certified as a women-owned broker dealer by the Women’s Business Enterprise National Council (WBENC).

Founded in 1977, CAPIS provides institutional investors with global execution services, commission management solutions, and transition management events. After 38 successful years and more than a decade of succession planning, the firm became majority women-owned in July 2015.

“We are excited to achieve the final step in our multi-year planning strategy for CAPIS, which positions us for continued growth as a privately owned, independent agency broker,” said Kristi Potts Wetherington, president and CEO of CAPIS. “Certification by the WBENC will expand CAPIS’ ability to offer trusted global execution and commission management solutions to an even broader group of institutional investors.”

As a WBENC-Certified Women’s Business Enterprise, CAPIS will be able to help institutions satisfy minority or women-owned business enterprise (MWBE) mandates through its 24-hour global trading desk, which offers global equity, fixed income, derivative, and portfolio execution services.

About Capital Institutional Services, Inc. (CAPIS)

CAPIS is a leading women-owned, independent U.S. institutional broker specializing in global agency trading, independent research and commission management programs for asset managers, plan sponsors, and broker-dealers. Supported by advanced trading technology, CAPIS traders deliver superior execution in domestic and international equities, fixed income, derivatives, and program trading. With more than 38 years of experience in the independent research and commission management business, the firm’s industry-leading CCA and CSA programs provide added tools for reducing transaction costs and improving performance. Founded in 1977, CAPIS is a member of the New York Stock Exchange, the Financial Industry Regulatory Authority, the National Futures Association, and SIPC. CAPIS is also a WBENC-Certified Women’s Business Enterprise. For more information, visit www.CAPIS.com.

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