



CAPIS Acquires FinTech Securities

DALLAS – Capital Institutional Services, Inc. (“CAPIS”), an institutional securities broker/dealer, announced today that it has completed the acquisition of Financial Technology Securities, LLC (“FinTech Securities”) from Innovest Systems, LLC (“Innovest”). Effective January 2, 2019, FinTech Securities, an Atlanta, Georgia-based broker/dealer that provides trading solutions to institutional money managers, was acquired by CAPIS. In the near term, FinTech Securities will continue to operate as a fully-owned subsidiary of CAPIS.

“Over the past year, we at CAPIS have made an extensive commitment to serving the institutional investment community. Our acquisition of FinTech Securities will expand our footprint in this very important market segment. It is our goal to continue offering superior service and execution skills to our customers and add new clients through both sales and acquisitions,” said Kristi Wetherington, CEO of CAPIS.

In support of this goal, CAPIS promoted Jon Lantz, a 12-year CAPIS veteran, to the position of Director of Bank & Trust Services. With Lantz leading the efforts, CAPIS recently released their electronic bond trading tool, BondRoute, giving clients the ability to execute fixed income securities electronically from their desk.

Tim Hall, President of CAPIS, added, “CAPIS is looking to further gain market share in the bank & trust industry through this acquisition. We are continually working to widen our presence in this market. In the last year, we have also bolstered our efforts in outsourced trading and transition management. We will continue to strengthen our product line through purchases and acquiring experienced and talented individuals.”

ABOUT CAPIS

Capital Institutional Services, Inc. (CAPIS) is a leading women-owned, independent U.S. institutional broker specializing in 24-hour global trading, independent research and commission management programs for asset managers, plan sponsors, and broker-dealers. Supported by advanced trading technology, CAPIS traders deliver superior execution in domestic and international equities, fixed income, derivatives and program trading. With over four decades of experience in the independent research and commission management business, the firm’s industry-leading CCA and CSA programs provide added tools for reducing transaction costs and improving performance.

CAPIS is a member of the NYSE, FINRA, NFA and SIPC. CAPIS is also a WBENC-Certified Women’s Business Enterprise. For more information, visit <https://www.capis.com/>.

ABOUT FINTECH SECURITIES

FinTech Securities provides streamlined equity, options, and fixed income trading solutions to institutional money managers throughout the United States. We stand by our principles of offering competitive rates, customized trading capabilities, and personalized attention. We offer a wide range of options to the professional portfolio manager including state-of-the-art trading technology, straight-through processing, and personalized knowledge of each institutional account.

For more information about Fintech Securities, visit <https://www.fintechsecurities.com/>.

Contact: CAPIS

Torri Carroll, 214-922-3250

tcarroll@capis.com

Contact: FinTech Securities

Jack Curran, 214-978-4713

jcurran@capis.com